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Super Micro Computer, Inc.
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Supermicro Responsible Mineral Sourcing Statement

In July of 2010, the United States Congress passed legislation (Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act) requiring companies to report the use of “conflict minerals” necessary to the functionality or production of their products manufactured or contracted to be manufactured, pursuant to which the United States Securities and Exchange Commission adopted an implementing rule on August 22, 2012. This law establishes reporting requirements for downstream companies like Supermicro for minerals originating from the Democratic Republic of the Congo (the “DRC”) and adjoining countries. In May of 2017, the Council of the EU passed the EU Conflict Minerals Regulation, effective January 1, 2021, to create reporting requirements on the same “conflict minerals” but for mineral importers, and for minerals from any origin. The EU regulation does not create mandatory reporting requirements for downstream companies like Supermicro.

The term "conflict minerals" in these contexts refers to specific minerals, which financed or benefited armed groups. The conflict minerals or 3TG are:

- Coltan (columbite-tantalite) and its derivatives (Tantalum)
- Cassiterite and its derivatives (Tin)
- Wolframite and its derivatives (Tungsten)
- Gold

Due to concerns about human rights in mining and mineral supply chains outside of the DRC and adjoining countries, the effort to stop conflict minerals has expanded to responsible sourcing of all minerals, including minerals like cobalt and mica. Supermicro embraces the industry norms of both ending sourcing of minerals that may finance and benefit armed groups, and assuring broader responsible mineral sourcing. Where information is known, Supermicro will practice due diligence for purpose of all minerals from conflict-affected and high-risk locations (CAHRA), and will participate in the sharing and growing of information so risks are understood by all stakeholders.

Supermicro is committed to complying with all legislation addressing responsible mineral sourcing, and continues toward the goal of all Supermicro products as DRC "conflict-free". Supermicro has considered existing and forthcoming laws and regulations, incorporated due diligence guidance from the Organization for Economic Cooperation and Development (the “OECD”), and continues participation in the Responsible Minerals Initiative and others stakeholder groups in order to implement our conflict minerals program according to best practice.

Supermicro has filed a report with the US Securities and Exchange Commission each year as required under US Law, and will continue SEC reporting and report similar information to the EU transparency platform on a voluntary basis.



Supermicro will work with industry groups and customers to reach our common goal to build a conflict free, and socially responsible supply chain. Specifically, Supermicro will:

- a. Exercise due diligence with suppliers for products containing or suspected to contain 3TG and cobalt according to best practice;
- b. Provide, and expect our suppliers to cooperate in providing, due diligence information, and requiring suppliers to extend conflict-free mineral expectations to next tier suppliers;
- c. Collaborate on industry-wide solutions through membership in multi-stakeholder efforts;
- d. Educate our staff and suppliers on conflict minerals and related expectations in the RBA Code of Conduct, including directing them to needed resources;
- e. Require suppliers agree to take all reasonable steps to source only conflict-free minerals, and to agree to use only smelters conformant with the Responsible Mineral's Initiative RMAP standard, or equivalent standard;
- f. Require subject suppliers to complete a conflict mineral reporting template (CMRT), and cobalt reporting template (CRT), in a timely manner and according to deadlines that allow Supermicro to report to customers and SEC.
- g. Immediately address risk of using conflict minerals or minerals linked to human rights violations, and require suppliers do the same;
- h. Encourage and incentivize suppliers, where practical, to source from smelters and refiners using recycled or scrapped materials to reduce environmental burden.

Based upon our yearly reasonable country of origin inquiry ("RCOI") that has taken place since 2013, we understand there remains inadequate traceability of 3TG and other minerals in upstream supply chains. Where practical, Supermicro will support multi-stakeholder efforts to bring transparency and traceability to mineral supply chains, including those aiming to use technology to advance efforts.

In 2019, Supermicro began asking select suppliers to complete a cobalt reporting template (CRT), to commence due diligence similar to 3TG. Supermicro will exercise the same seriousness of effort with this reporting tool as it does for legally required due diligence, in the name of responsible minerals sourcing. Supermicro will assist the stakeholder community in developing tools that streamline reporting, ease reporting burdens for suppliers, while remaining thorough in the practice of responsible mineral sourcing.

To provide feedback or ask any questions that apply to our supply chain, please contact Supermicro at: conflictminerals@supermicro.com. All parties may anonymously report possible violations of this statement to Supermicro's ethics hotline: <http://www.supermicro.ethicspoint.com>.